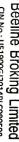


## Annexure - B

## Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

## Data for every month ending

5	4	ω	ю	1	Sr. No.	
Grand Total	Other Sources (if any)	Stock Exchanges	SEBI (SCORE S)	Directly from Investors	Received from	
N	1	1	1	ı	forward from previous month	Carried
,	ı	1	1	1	Received during the month	
22	1	1	1	-	Total Pending	
	ı	1	•	-	Resolved*	
ı	•	_	ı	-	Pending for less than 3 months	Pending at the end of the month**
ю	1	1	•	1	Pending for more than 3 month	gat the end of the month**
1	-	-	1	,	on time^ (in days)	Average



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	Trend	Trend of monthly disposal of complaints	<u>f complaints</u>		
Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	December, 2021	2	1	í	3
12	January, 2022	1	1	2	1
ω	February,2022	2	-	i,	2
4	March,2022	2	1	1	2
51	April,2022	2	-	•	2
6	May,2022	2	1	1	2
7	June,2022	2	_	-	2
8	July, 2022 to July, 2023	0	0	0	0
9	August, 2023 to August, 2024	0,	1	1	0
12	September, 2024	0	0	0	0
13	October, 2024	0	0	0	0
14	November, 2024	0	0	0	0
15	December, 2024	0	0	0	0
16	January, 2025	0	0	0	0
17	February, 2025	0	0	0	0
18	March, 2025	0	0	0	0
*Should in	*Chould include complaints of presidents months months in the				

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

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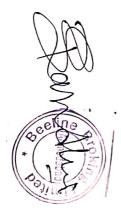
 $<sup>^{**}</sup>$ Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



## Trend of annual disposal of complaints

3 2019-20 4 2020-21 5 2021-22	3 2019-20 4 2020-21 5 2021-22 6 2022-23	3 2019-20 4 2020-21 5 2021-22 6 2022-23 7 2023-24	3 2019-20 4 2020-21 5 2021-22 6 2022-23 7 2023-24 8 2024-25
22	22	22 23 -24	22 23 -24 -25
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	6 2022-23	6       2022-23       -       -       -       -       -         7       2023-24       -       1       1       -	6       2022-23       -       -       -       -       -         7       2023-24       -       1       1       -       -         8       2024-25       -       -       -       -       -       -       -



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