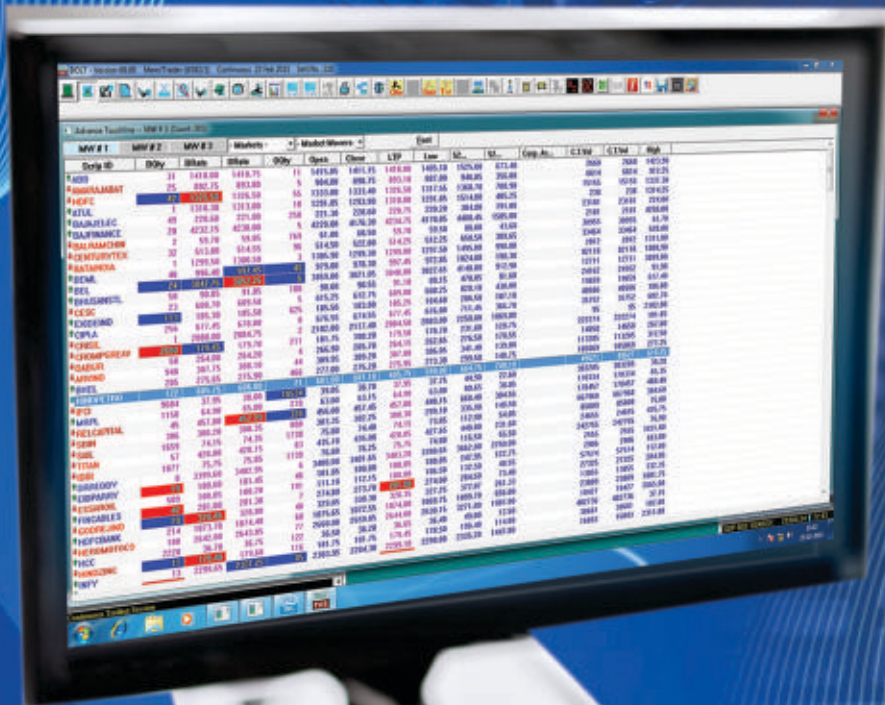


ACCOUNT OPENING FORM FOR INDIVIDUAL



FOR CSO USE ONLY

Branch Code		Form No.	
Sub-broker/AP Code		Client Code	

Full Name of KYC Verifier : _____ Employee Code : _____

KYC CHECKLIST (PLEASE TICK WHEREVER APPROPRIATE)

* All Originals to be produced for physical verification. | *Copies of all documents to be self attested.
Following is needed for Account Opening

I. General

- Passport size photograph & Signed across PAN Card (Mandatory)
 In Person verification in KYC Original seen and verified stamp on all docs
 Witness Signature & Address All Copies Self Attested

II. Additional Proof of Identity (Any One)

(If Pan card not visible OR Pan card sign & signature in attached form are different)

- UID (Aadhaar) Valid Passport Valid Driving License Voter ID
 Any other Supporting doc: _____

III. Proof of Permanent / Correspondence address

- Ration Card Valid Passport Valid Driving License Voter ID UID (Aadhar)
 Tel. Land Line Bill (Not more than 3 months old) Electricity Bill (Not more than 3 months old)
 Registered Lease or Sale Agreement Bank Statement (Not more than 3 months old)
 Gas bill (Not more than 3 months old) Bank Verification Letter (Not more than 3 months old)

Any other Supporting doc : _____

IV. Bank Proof With MICR / IFSC Code

- Original Pre printed Cancelled cheque / Copy of preprinted margin cheque leaf Bank Statement Passbook

V. Demat Proof

- Client Master DP Statement Transaction cum Holding Statement Welcome Letter

FOR OFFICE USE ONLY

	Documents verified with Originals
Name of the Employee	
Employee Code	
Designation of the employee	
Date	
Signature	

I/We undertake that we have made the client aware of tariff sheet and all the voluntary non-mandatory documents. I/We also made the client aware of 'Rights and Obligations' document(s), RDD Do's and Don'ts and Guidance Note. I/We have given/Sent him a copy of all the KYC documents. I/We undertake that any change in the tariff sheet and all non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the Rights and Obligations and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory (For Beeline Commodities Private Limited)

Date _____

Seal/Stamp of the Commodity broker

INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No.
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MANDATORY DOCUMENTS AS PRESCRIBED BY FMC & EXCHANGES

1.	Account Opening Form	A. KYC form – Document Captures the basic Information about the constituent and an Instruction checklist.	1-4
		B. Document captures the Additional information about the constituent relevant to Trading/Demat account and an instruction checklist.	
2.	Information Required pursuant to PMLA ,2002	Details of client with respect to PMLA	5
3.	Tariff sheet	Document detailing the rate/amount of brokerage & other charges levied on the client for trading on commodity exchange(s)	6

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

1.	Voluntary terms and conditions	Additional terms and conditions specific to clients for the purpose of operational efficiency	7-10
2.	Running Account Authorisation	Authorisation to maintain a running account	11
3.	Client declaration open interest position	Declaration provided by client to Beeline for open interest position	11

MANDATORY DOCUMENTS AS PRESCRIBED BY FMC & EXCHANGES*

1.	Uniform Risk Discloser Document (RDD)	Document detailing risks associated with dealing in the commodities market.	Annexure-A
2.	Rights & Obligations of members, authorised persons and clients	Documents stating the rights and obligations of member/Authorised person and client for trading on exchanges (including additional rights and obligations in case of internet/wireless technology based trading).	Annexure-B
3.	Do's and Don'ts for the investors	Document detailing do's and don'ts for trading on exchange, for the education of the investor	Annexure-C

*Documents prescribed in list shall be send on registered E mail id or also can refer on our website:www.beelinebroking.com

IMPORTANT NOTE**I. Signature Types:** Signature of Client Signature of the Guardian Signature of First Witness Signature of AP / Introducer

2. In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

BEELINE Commodities Pvt. Ltd.

C. G. Road, Ahmedabad - 380009

Tel. : 079-66637588 Website : www.beelinebroking.com

Member : National Commodity & Derivatives Exchange Limited/Multi Commodity Exchange of India Ltd.

Registered Office: B-307, Ganesh Plaza, Nr. Navragpura Post Office, Navragpura, Ahmedabad – 380009

Phone: (079) 66637588 Website : www.beelinebroking.com

FMC Registration No.: NCDEX: NCDEX/TM/CORP/1136

MCX :

CEO & COMPLIANCE OFFICER'S DETAILS:

CEO'S DETAILS	COMPLIANCE OFFICER'S DETAILS
Name: Mr. Pradip Sandhir	Name: Mr. Vanesh Panchal
E mail Id : connect@beelinebroking.com	E mail Id : compliance@beelinebroking.com
Phone No.: 079-66637588	Phone No.: 079-66637588

CLEARING MEMBER DETAILS:

Exchange	Clearing Member Name	SEBI Registration No.
NCDEX	Edelweiss Commodities Services Ltd.	NCDEX/TCM/CORP/0413
MCX	Edelweiss Commodities Services Ltd.	

For any grievances / dispute please contact Beeline Broking Limited at the above address or

✉ connect@beelinebroking.com OR ☎ 079-66637588

EXCHANGE CONTACT DETAILS:

EXCHANGE	E-MAIL ID	PHONE NO.
NCDEX	askus@ncdex.com	022-66406899
MCX	customersupport@mcxindia.com	022-67318888

Application No. :

KNOW YOUR CLIENT (KYC) APPLICATION FORM

PHOTOGRAPH

Please affix your recent passport size photograph and sign across it



Press fill in ENGLISH and in BLOCK LETTERS with black ink

A. IDENTITY DETAILS (PLEASE SEE GUIDELINES OVERLEAF)

1. Name of Applicant

Father's/Spouse Name

2. a. Gender Male Female b. marital status Single Married c. Date of birth

3. Nationality Indian Other (Please specify) _____

4. Status Please tick (✓) Resident Individual Non Resident Foreign National

5. a. PAN b. Aadhaar, if any

c. Any other additional proof of identity : _____

B. ADDRESS DETAILS (PLEASE SEE GUIDELINES OVERLEAF)

1. Address for Correspondence

City/Town/Village Pincode

State Country

2. Contact Details : Tel. (Off.) Tel. (Res.)

Mobile No. Fax

Email ID

3. Specify the proof of address submitted for Correspondence address : _____

4. Permanent Address (if different from above)

City/Town/Village Pincode

State Country

5. Specify the proof of address submitted for permanent address if any : _____

C. OTHER DETAILS

Gross Annual Income Details : Below 1 lac 1-5 Lacs 5-10 Lacs 10-25 Lacs > 25 Lacs

OR Net Worth in ₹ _____ as on date

Occupation (Please tick any one & give brief details) Private Sector Public Sector Business Retired

Govt. Service Professional Agriculturist Housewife Student Others _____

Please tick if applicable : Politically Exposed Person Related to Politically Exposed Person

Not a Politically Exposed Person Not Related to a Politically Exposed Person



D. BANK ACCOUNT DETAILS (PRIMARY BANK WILL BE CONSIDERED FOR PAYOUT OF FUNDS)

Bank 1 : Bank Name & Address :	
Account No.	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="checkbox"/> Savings <input type="checkbox"/> Current
MICR Code*	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <small>9 digit no. on cheque leaf</small> IFSC Code <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Bank 2 : Bank Name & Address :	
Account No.	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="checkbox"/> Savings <input type="checkbox"/> Current
MICR Code*	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <small>9 digit no. on cheque leaf</small> IFSC Code <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

E. DEPOSITORY ACCOUNT DETAILS

	DP ID Details	Comtrack A/c
Depository	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL	
DP Name		
Client Name		
DP ID	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	CP ID <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Client ID	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	CMSE ID <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

F. TRADING PREFERENCES *Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

Name of National Commodity Exchange	Date of consent for trading on concern Exchange	Signature of the client
Multi Commodity Exchange of India Ltd.		<div style="border: 1px solid black; padding: 2px; text-align: center; width: fit-content;">  </div>
National Commodity and Derivatives Exchange Ltd.		<div style="border: 1px solid black; padding: 2px; text-align: center; width: fit-content;">  </div>

G. INVESTMENT / TRADING EXPERIENCE

<input type="checkbox"/> No Prior Experience <input type="checkbox"/> <input type="text"/> Years in Commodities <input type="checkbox"/> <input type="text"/> Years in other investment related fields

H. SALES TAX REGISTRATION DETAILS (As applicable State wise)

Local Sales Tax State Registration No.:	Validity Date & Name of the State :
Other Tax Registration No.:	Validity Date & Name of the State :
Central Sales Tax Registration No.:	Validity Date :

I. VAT DETAILS (As applicable State wise)

Locat VAT Registration No. :	Validity Date & Name of the State :
Other VAT Registration No.:	Validity Date & Name of the State :

J. PAST REGULATORY ACTIONS

Details of any action/proceedings initiated / pending / taken by FMC/SEBI/Stock Exchange / Commodity Exchange / any other authority against the client during the last 3 years

K. DEALING THROUGH AUTHORISED PERSON(AP) OTHER MEMBERS

If client is dealing through any other Member, provide the following details (in case dealing with multiple members/APs, provide details of all in a separate sheet containing all the information as mentioned below.)

Member / AP Name
Exchange :
FMC/Exchange Registration No.:
Concerned member's name with whom the AP is registered:
Registered office address
Email : _____
Mobile /Phone: _____ FAX: _____ Website: _____
Details of disputes / dues pending from to such Members / AP :

L. INTRODUCER DETAILS

Introducer Name : _____
Status of Introducer : <small>(AP/Existing Client / Others, Pls. Specify)</small> _____ Code of Introducer _____
Office address : _____
Mobile : _____
Signature of the AP/Introducer (Rubber Stamp required if non-individual introduces client) <div style="border: 1px solid black; width: 200px; height: 20px; display: inline-block; vertical-align: middle;"></div>

M.ADDITIONAL DETAILS

Communication Mode for receipt of documents	Whether you wish to receive communication from Member in electronic form on your Email ID <input type="checkbox"/> Yes <input type="checkbox"/> No If Electronic then please follow the instruction: 1. The format of declaration will be sent to you on the email id provided while opening the trading account. 2. On receipt the email, please print the email as well as the declaration form. 3. The hard copy of the declaration shall be filled and submitted to us along with a signed hard copy of the email.
SMS/Email	<input type="checkbox"/> SMS <input type="checkbox"/> E-mal : Do you wish to receive trade confirmation SMS / E-mail directly from the exchanges ?
E-broking	Do you wish to avail internet Trading / Mobile Trading Facility <input type="checkbox"/> Yes <input type="checkbox"/> No Refer to voluntary Terms & Conditions as mentioned on page no. 7.
Educational Qualification	<input type="checkbox"/> Non Matric <input type="checkbox"/> SSC / HSC <input type="checkbox"/> Graduate <input type="checkbox"/> Lawyer <input type="checkbox"/> Others



N. NOMINEE DETAILS

<input type="checkbox"/> I wish to Nominate		<input type="checkbox"/> I do not wish to Nominate	
Name			
Address			
City	Pincode	□ □ □ □ □ □ □	
State	Country		
Pan Card	UID	□ □ □ □ □ □ □ □ □ □	
Tel.	Fax	□ □ □ □ □ □ □ □ □ □	
Mobile	E-mail		
Relationship with Nominee (if any)	Date of Birth	□ □ □ □ □ □ □ □ □ □	

GUARDIAN DETAILS (IF NOMINEE IS MINOR)

Name					
Address					
City				Pincode	<input type="text"/>
State				Guardian Signature	
Country					

DETAILS OF WITNESS

 Signature of First Witness		 Signature of Second Witness	
Name		Name	
Address		Address	

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief I/We undertake to inform you any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I am/We are aware that I/We may be held liable for it.
2. I/We confirm having read / been explained and understood the contents of the tariff sheet and all voluntary / non-mandatory documents.
3. I/We confirm having received read & understood the contents the **'Rights and Obligations'** document(s) **"Risk Disclosure Document (RDD)** and **Do's & Don'ts** and **Guidance note** I/We do hereby agree to be bound by such provisions as outlined these document. I/We have also been informed that the standard set of documents has been displayed for information on member's design
4. The KYC documents cover the additional terms and conditions mentioned which are voluntary , I hereby give my consent for additional terms and conditions .
5. I understand that the KYC documents is in accordance of the exchanges and/or FMC requirements applicable for opening commodity account.
6. I understand and agree that my amendment/modification as required by the exchanges and regulations will be applicable to me at all point of time, I understand that these changes will be intimated to me.

Client Signature



Place: _____

Date

INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT. 2002 (APPLICABLE TO INDIVIDUAL CLIENTS-FIRST HOLDER)

CLIENT DETAILS

Experience	Number of years of Investment/Trading Experience <input type="checkbox"/> <input type="checkbox"/> Any other Information _____
Education	<input type="checkbox"/> Non Metric <input type="checkbox"/> SSC-HSC <input type="checkbox"/> Graduate <input type="checkbox"/> Lawyer <input type="checkbox"/> Other Specify _____
Residential Details	<input type="checkbox"/> Owner <input type="checkbox"/> Rented
Source of Income (Fund Details)	Do you intend to invest in stock market with <input type="checkbox"/> Own Funds <input type="checkbox"/> Borrowed Funds
	a) Primary Source <input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Other Specify _____
	b) Secondary Source <input type="checkbox"/> Royalties <input type="checkbox"/> Rental <input type="checkbox"/> Dividend <input type="checkbox"/> Other Specify _____

DETAILS OF RELATIVES, HAVING ACCOUNT WITH BEELINE GROUP (Use Additional Sheet if more than 1 relatives having Beeline Ac)

Name	_____
Relationship	_____
UCC	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

OCCUPATION DETAILS OF CORPORATE/PARTNERSHIP FIRM/TRUST/ETC. WHERE CLIENT IS AFFILIATED

Please Tick	<input type="checkbox"/> Occupation <input type="checkbox"/> Corporate Partnership Firm/Trust etc
Name of firm	_____
Address	_____ _____
Designation (If Occupation)/Relationship with Firm (If corporate)	
Nature of Business	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Services <input type="checkbox"/> Consultancy <input type="checkbox"/> Trading <input type="checkbox"/> Others _____

POLITICALLY EXPOSED PERSON (PEP) OR RELATED TO A PEP

<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide below mentioned details	
Name	_____
Relationship	_____
PAN <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	

Politically Exposed Persons are individuals who are or have been entrusted with Prominent public functions in a foreign country, e.g. Heads of States of Governments, senior politicians, senior government/judicial/Military officers senior executives of state-owned corporations important political party officials etc., In addition a "Politically Exposed Person includes the immediate family members of a politically exposed person such as spouse, children, parents and other relatives, Politically/Exposed Person includes even close associates like advisors, secretaries and other associates of a Politically Exposed Person who conduct transactions on behalf of Politically Exposed person"

I/We hereby declare that all information furnished above is true and correct to the best of my/our knowledge and belief and undertake to inform you of any charges therein, immediately. In case any of the above information is found to be misleading or misrepresenting, I am/we aware that I/We may be held liable for it.

Client Signature 

TARIFF SHEET
BROKERAGE DETAILS

	Commodity Segment	
	Min (Paise) A	Max(%) B
Trading Brokerage		
Delivery Brokerage		

Note :

- Please note that the brokerage levied to your trading account shall be higher of brokerage value as per the agreed rates or ₹ 25/- per trading day per segment, subject to the maximum rates prescribed by the FMC / Exchanges from time to time.
- Delivery brokerage would be levied to all customers who has either opted for delivery or by default delivery allocated. It would be levied as per the rates specified in above table. In the absence of any rate default brokerage @0.30% would be levied.
- For Electronic Delivery through NCDEX client needs to open separate account with COMTRACK participant Separate charges with applicable taxes if any will be debited in client's ledger account.
- In case of intra day transaction in Commodity contract first leg rates as above shall be charged on the buy value or sell value depending upon whichever is higher and second leg rates shall charged vice versa.
- Commission of Clearing & Forwarding Agent/Commission agents. if appointed on behalf of client for the purpose of taking of deliveries will be charged at actual.
- Other charges
 - Transaction charges shall be levied as per the prevailing rates*
 - Statutory levies including but not limited to Commodities Transaction Tax, Service Tax, Stamp duty, Education Cess shall be levied as per the rates applicable from time to time. Any statutory liability that may arise on account of trading, past and present shall be charged as per the rates applicable.
 - Beeline reserves the right to levy additional charges including but not limited to the following.

Particulars	Amount
Duplicate Contract Notes (CN) issued	₹ 10/- per CN**
Duplicate Souda Summary issued	₹ 25/- for 1 month period**
	₹ 50/- for 2 month period**
	₹ 100 for 3 monty period**
Bounced Cheque/Stop Payment of cheque	₹ 175 per instance/instrument**

* For prevailing rates please refer Back office interface

** Beeline Commodities reserves the right to revise the tariff structure from time to time at its sole discretion, under advance intimation clients either by way of ordinary post or by an email or by SMS or by notification on the back office interface.

Client Signature



VOLUNTARY TERMS AND CONDITIONS

Whereas the client intends to open commodities trading accounts with Beeline Commodities Pvt. Ltd., (hereinafter referred as 'Beeline') for the purpose of trading in commodity derivatives Segments of the Multi Commodity Exchange of India Limited and the National Commodity and Derivative Exchange Limited and such other National Commodity Exchange (s) as recognized by Government of India under Section 6 of the Forward Contracts (Regulation) Act, 1952 (FCRA), And whereas for the purpose of more fully and conveniently availing of the services agreed to be provided by Beeline and also the additional services that may be made available by Beeline from time to time, the Client, on its own free will and volition, agrees to accept and be bound by the following terms and conditions. The Client understands that these terms and conditions are voluntary i.e, non-mandatory in nature but on their acceptance, these shall constitute the contract between the parties and bind them fully and be enforceable by each party against the other.

- 1. Authorization to debit additional charges with regard to Trading and Demat Account:** Without prejudice to the other rights and obligations of the parties, the client understands and agrees that Beeline may levy additional charges including Annual Maintenance Charges and all transaction charges with respect to Clients Demat / Comtrack account for any service rendered by Beeline and as may be required by the Client, including, but not limited to, legal expenses incurred by Beeline for collecting unpaid dues from the Client, charges on delayed payments, and account maintenance charges where brokerage generated falls below the minimum prescribed from time to time, Delivery charges etc. and recover from the Client all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the client's ledger account with Beeline.
- 2. Setting up of Exposure limits:**
 - 2.1. Upfront Margin:** Client is required to pay upfront initial margins and other margins as may be applicable on time to time. The 'margins' for this purpose shall mean mark to market margin, special / additional margin, delivery margin or any other margin as prescribed by the Exchange(s) to be collected from clients. In case of non payment or short payment of margin, penalty shall be levied as per the criterion defined by the FMC / Exchange(s) from time to time.
 - 2.2** Beeline shall be entitled to sanction trading limits to the Client based on the margin lying to the credit of the Client in the form of funds / securities / bank guarantees / fixed deposit receipts. Beeline at its sole discretion may refuse to accept any security as collateral/margin. Beeline shall from time to time publish a list of securities which would be acceptable as collateral / margin. In setting exposure limits for the Client, Beeline shall be entitled to consider such factors as it may deem fit, including without limitation, the client's risk profile, risk appetite, loss bearing capacity, payment history, market volatility, risk management policy of Beeline and such other factors or conditions which Beeline may consider relevant for the purpose. Beeline reserves liberty to vary the trading / exposure limits of the Client depending upon its risk assessment from time to time having regard to the changes in any of the factors or market conditions bearing on the risk profile of the Client.
- 2.3.** Neither Beeline, nor any affiliate of Beeline nor their respective directors, officers, employees, agents shall in any circumstances be liable for any direct or indirect loss, cost, liability, expense or damage (including without limitation all legal fees and expenses) arising from any variation or reduction of exposure or turnover limits by Beeline.
- 3. Delayed payment and consequences:**
 - 3.1.** Notwithstanding anything contained in these presents and without prejudice to margin funding guidelines issued by FMC, any amounts which are overdue from the Client in any trading segments shall be liable to delayed payment charges at the rate of 1.5% per month or part thereof or such other rate as may be determined and communicated by Beeline. Beeline is authorised to debit the delayed payment charges to the account of the Client at the end of each month/such other interval as may be decided by Beeline.
 - 3.2.** Beeline shall be entitled to suspend or terminate the member client relationship without prior notice if the Client fails to fulfill his/its payment obligations hereunder, under the terms and conditions as agreed or otherwise due to Beeline.
- 4. Beeline's right to square off:** Without prejudice to Beeline's other rights (including the right to refer a matter to arbitration), in the event of the Client failing to maintain/supply applicable margin money required to sustain the outstanding market positions of the Client, Beeline shall be entitled, at its option and liberty, to liquidate / close out all outstanding market positions or any part thereof such that the outstanding market positions are either zeroed out or reduced to an extent where available margin covers the market positions remaining after such square off. The Client understands and accepts that authority of Beeline to square off outstanding market interests of the Client in the event of the Client failing to furnish margin money immediately on demand is carte blanche qua the entire outstanding position and the Client shall not, as a matter of right, be entitled to reduction of the outstanding positions in stages in order that positions to the extent of available margin are retained in the Client's account. Beeline may also sell off all or any securities / collateral of the Client lying with Beeline as collateral or otherwise, for any amounts due by the Client and adjust the proceeds of such liquidation/close out against the client's liabilities/obligations to Beeline. Any and all losses and financial charges on account of such liquidation/closing-out shall be charged to and borne by the client. Such liquidation/ close out may be without any prior reference or notice to the client. Client shall keep and hold Beeline indemnified and harmless from any loss arising out of such closing out/squaring off. Such liquidation or close out of positions shall apply to any segment in which the Client does business with Beeline.
- 5. Lien:** All securities, commodities, funds and/or properties of the Client placed with Beeline shall be subject to a lien for the payment or fulfillment of all un-discharged liabilities and obligations of the Client in relation to its transactions or owing to any of the group companies of Beeline. Beeline shall be entitled to withhold any securities, commodities, funds and/or property of the Client as security towards any such un-discharged liabilities or obligation of the Client and to sell and / or appropriate to itself all such securities, commodities, funds or properties at its sole discretion and at any point of time.
- 6. Refusal of Client requests for trades/transactions:** Beeline is entitled in its sole discretion to: Restrict or refuse execution of any orders for transaction in any contract if transaction in such contract

is not in accordance with its internal due diligence policy and/or the directives and guidelines of the Exchanges and/or the Regulator issued from time to time and the client shall not call in question any decision of Beeline to restrict or refuse transactions in such contract or on the ground that the Client has not been put on notice about the contract on which trade restrictions have been imposed by Beeline. Impose trade restrictions on any contract having regard in particular to any one or more of the following factors viz. i) Market volatility, or ii) Price sensitive announcements relating to any scrip, or iii) Restrictions on trade volume imposed by the Exchange concerned or iv) Political instability in the country or v) External aggression or internal rebellion or vi) Default by the Client to maintain applicable collateral/margin or to make payment of dues or such other factors influencing the commodities market.

Refuse to accept or act upon any request/order which in Beeline's sole opinion, amounts to manipulating trades or price manipulation or artificial trade(s) and / or fraudulent trade(s) or otherwise in breach of applicable laws and/or Beeline's internal policies, without obligation to give the Client its reasons for doing so;

Close out any transaction which may have been executed but which Beeline was entitled to refuse to execute being contrary to its internal due diligence policies or by reason of any other factors including but not limited to trades being manipulative in nature;

Disallow any trades or transactions in respect of certain contract or segments which may be below/above certain value/quantity as may be decided by Beeline from time to time;

Beeline may at its sole discretion decline to carry out the instructions for any reason whatsoever.

7. Suspension and deregistering of Client's Account:

7.1. If the Client apprehends that security of his account has been breached, the Client shall by writing to Beeline, request suspension of transactions in the Client's account and Beeline may on receipt of such request suspend transactions in the account. The Client shall ensure pay in of funds and securities in respect of all transactions pending to be settled on or before the respective settlement date(s) and shall compulsorily square off all open derivative positions, failing which Beeline without further reference to the Client shall square off all open derivative positions prior to suspending the account. The account of the Client shall, if suspended, remain so suspended until such time as the Client's request in writing for reactivation of the account is not received by Beeline. Provided always that Beeline may, without prejudice to its other rights to effect recovery of its dues, sell all or any collateral and other securities of the Client lying with it in the Client's account towards full or part recovery of the dues owing by the Client without prior notice or consent of the Client.

7.2. Beeline may at any time, as it considers necessary in its sole discretion and without prior notice to the Client, prohibit, restrict or suspend the Client's access to or use of the Services provided to the Client under this Agreement, whether in part or entirely.

7.3. Beeline reserves the right to deregister the client without prior notice in the event of:

Any breach of the terms of this agreement.

In the event of infraction of any Rules, Bye-Laws, Regulations of FMC or the Stock Exchange or of the provisions of any law for the time being in force governing dealings in the commodities market without prior notice or on the directions of FMC and/or the Exchanges.

Upon the death, winding up, bankruptcy, liquidation or lack of legal capacity of the Client.

The Client being designated as a defaulter by any credit rating agency or any action or proceedings have been initiated by the relevant regulator/Authority including without limitation to FMC.

Bank account, demat / comtrack account, commodities account of the client being frozen or attached by any court of law or any other competent authority for whatever reason.

The Client having misrepresented facts at the time of entering or at the time of giving instructions or otherwise.

Any proceedings or an investigation that involves the Client or his / its properties having been initiated (or is / are ongoing).

The Client fails to fulfill his / her its payment obligations or otherwise due to Beeline or

If the Client migrates to a jurisdiction which prohibits trading in Indian contract or otherwise subjects Beeline or any of its employees to any licensing or registration requirements.

8. **Orders at Unrealistic Prices / illiquid contracts:** The client hereby confirms that it will not place or cause to be placed with Beeline, orders in contracts at prices substantially different from the prevailing price of the contracts at the time of placement of order. Any order placed by the client wherein the price is substantially different from the prevailing market price of the contract is liable to be rejected at the sole discretion of Beeline. The client understands that Beeline may in its sole discretion and without disclosing any reasons, restrict trading in certain contract. Clients can check the same on Beeline's Commodities Website.

9. **Execute / Self / Wash / Match trades:** Executing trades wherein buy and sell orders are placed by the same client/entity within a short time in his/her account would be considered to be in the nature of Wash/Self/match trades. Beeline may, without prejudice to its other rights of levying penalty; deregister the client without giving prior notice.

10. **Disclaimer:** The Client understands and agrees that neither Beeline nor any other party disseminating any market data, message or information through the Website of Beeline or in any other media shaft be liable for:

a. Any inaccuracy, error, omission or delay in the transmission or delivery of any such data, information or message, or

b. Any loss or damage arising from or occasioned by (i) Any such inaccuracy, error, delay or omission, (ii) Non-performance, or (iii) Interruption in making available any such data, information or message, due to either any act or omission by Beeline or any disseminating party or to any

"force majeure" (e.g. flood, extraordinary weather condition, earthquake or other act of nature, fire, war, insurrection, riot, labour dispute / unrest, accident, action of government, communications or power failure, equipment or software malfunction) or any other cause beyond the reasonable control of Beeline or any disseminating party.

11. **Manner and Mode of placing orders/instructions:** The Client may communicate orders and other instructions to Beeline or the sub-broker/authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. Client may use any one or more of these means as may be permitted by the FMC / Exchanges from time to time for placing orders.
12. **Non-execution / delay / cancellation of Orders:** The client hereby agrees that Beeline or the Exchanges shall not be liable for non execution or partial execution of any orders caused due to suspension, interruption, or malfunctioning of the online as well as offline trading services, disruptions or congestion of communication net works, hardware or software problems, or failure of the electronic trading system generally in any manner due to one or the other reasons beyond the control of Beeline or the Exchange.
13. **Client not to act on representations of agents, employees:** Client is aware that Beeline has not authorized any agents, representatives, employees or other persons to make any representation, or to give any promise, assurance, warranty, undertaking or commitment as to return on investment of the Client whether in writing or otherwise on behalf of Beeline.
14. **Recording of Conversation:** The client is aware and agrees that Beeline may tape record the conversation between the client/client's representative and Beeline, whether over the telephone or in person. Beeline may produce before competent authorities, voluntarily or on such production being required by such authorities, recorded conversation or transcript thereof or both as valid evidence of the content of the conversation so recorded.
15. **Confidentiality of Client Details:** Details and information relating to the Client including trade related information shall be kept confidential and shall not be disclosed to any person/authority except as required under authority of Law. However, where requisition for such details and information is received under authority of Law, Beeline may part with such details and information without prior reference or intimation to the Client. The Client, however, understands and agrees that Beeline may share details of the Client with its own group companies / branches / authorised person.
16. **Disclosure as to Proprietary Trades by Beeline:** Beeline may carry out proprietary trades in addition to trades on behalf of its Clients.
17. **Severance:** In case any one or more of the terms and conditions contained in this document become invalid, illegal or unenforceable in any respect under any applicable law, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.
18. **No Waiver:** No forbearance, relaxation or inaction by any

party to require from the other performance or discharge of any obligation to be performed or discharged by the other under this document shall in any way affect, diminish, or prejudice the right of such party to require of the other party at any time such performance or discharge, or performance or discharge of any other obligations under this document or be considered to be a waiver of any rights, unless the waiver is specifically agreed in writing.

19. **Notices:** All notices or communications issued by Beeline shall be served on the Client in any one or more or all of the following ways at the ordinary business address and/or ordinary place of residence and/or last known address of the client:
 1. (a) By ordinary post or (b) By registered post (c) Under certificate of posting or (d) By express delivery post or e) by SMS on registered mobile or by telephonic call or (f) By affixing it on the door at the last known business or residential address or (g) By oral communication to the party or on the last known telephone number or on the recording machine of such number or (h) By advertising it in at least one prominent daily newspaper having circulation in the area where the last known business or residential address of the client is situated or (i) By publishing it in the website of Beeline wherein secured log-id and password to Client is provided or j) By a notice posted on the notice board of the Exchange if no address be known or k) By electronic mail or fax or (l) By hand delivery or By Courier or any other mode as may be allowed for communication.
 2. Notwithstanding anything stated above, communication relating to orders, margins, maintenance calls and other similar matters in the ordinary course of dealings between Beeline and the Client may be made orally.
20. **Electronic Contract Note (ECN):** Client agree to receive contract notes in Electronic/Digital Form (ECN) authenticated by means of a digital signature in lieu of physical contract notes through e-mail by authorizing Beeline in this connection and providing the e-mail address (es) at which the Client wishes the ECN to be sent.

The Client shall access and verify the ECN and all information contained therein, In case of discrepancy the Client, shall inform Beeline either in writing or via E-mail within 24 hours of the receipt of the same. Beeline shall also publish the Contract Note on the Web site www.beelinebroking.com or on any other designated location specified by Beeline from time to time. The Client will be issued a login and password by which the Client can login in to his account and view/save/print the ECN. Should the Client experience any difficulty in opening the ECN, Beeline may, on advice by the Client, make the Contract-Note available by any other means (e-mail, electronic mail attachment, or in the form of an available download from the back office web site or by delivery of a hard copy). Client's failure to advice Beeline of such a difficulty shall amount to valid delivery and viewing of the document by the Client.
21. **Electronic Transmission of other Documents:** The Client who have opted for ECN agrees that Beeline may transmit to the Client any statements, documents or intimation including, but not limited to, Margin Statement, Statements of Funds and Commodities, margin and maintenance calls &

other notices/communications in electronic mode either at the e-mail ID designated for delivery of ECN or to the mobile number of the Client or both and, in case of non receipt of bounced mail/non delivery of SMS notification, Beeline shall be deemed to have fulfilled his obligation to deliver to the Client such documents. Discrepancies if any in documents should be brought to the notice of Beeline within seven working days from issuance failing which the documents shall be deemed to be true and correct record of transactions stated therein.

22. Electronic Payment Gateway for Net Banking Services:

Beeline may provide on its internet trading website, without additional cost to the Client, access to Electronic Payment Gateways provided by various banks for facilitating transfer of funds from Client's bank account to the account of the Client with Beeline. Client understands that Beeline is only providing access to the electronic fund transfer facility provided by the banker of the Client through Beeline's website by means of an interface and is not liable or responsible for the proper functioning or otherwise of the Gateway or for any transaction errors, losses, malfunctioning or hacking of the system by unscrupulous elements, frauds, and / or any incidental or consequential claims arising there out. Client undertakes not to make Beeline a party to any litigation, claim, dispute, difference or complaint that the Client may initiate in respect of, arising out of or in connection with any transactions on the Gateway and agrees that Beeline's liability shall at all time be limited to the amount actually received in its account by electronic transfer from Client's account with the Bank.

23. Internet/Wireless Technology based Trading facility:

Beeline offers Internet and mobile Trading facility for transaction in commodities on the concerned Exchanges. The Client may avail of such Trading facility provided by Beeline by complying with the formalities prescribed therefore.

- a. Non-usage of Internet/Wireless Trading Facility: If the Client does not use the Internet/Wireless Trading Facility for a continuous period of 3 months or such other period as Beeline may notify, the facility may be deactivated without

notice and the Client shall comply with the prescribed formalities for reactivating the facility. Trades can, however, be executed at all time by placing orders off-line with the concerned branch of Beeline.

- b. The client understands and agrees that Beeline has different product of the Internet Trading Wireless Trading software which have been approved by the Exchanges and the client shall be allotted such product as may be chosen by him. The client also understands and agrees that depending on the trading activity of the client, Beeline shall have the exclusive right and liberty to change the product version allotted to the client and allots a different product version of the Internet Trading/Wireless Trading facility.
- c. Orders of Client subject to review by Beeline: The Client agrees that the Beeline may, on being suspicious of any of the transactions, review any order placed by a Client, which may cause delays in the processing of the Client's order or may result in rejection of such order.

24. Extra Ordinary Events and termination / suspension of trading facility: Beeline will not be liable for losses caused directly or indirectly by government restriction, Exchange rulings, suspension of trading, computer, communication, telephone or system failure, war, earthquakes, flood, accident, power failure, equipment or software malfunction, lack of connectivity, congestion or disruption of communication net-work or links, software glitches or corruption, low processing speed, strikes or any other conditions beyond Beeline's control resulting in non-execution, partial or incomplete execution of orders and the resulting financial loss, if any Beeline may at any time terminate, discontinue or temporarily suspend trading facility provided to the Client in the event of any such extraordinary event occurring without giving prior notice to the Client.

25. Amendments to the terms and conditions: Beeline reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying or varying the provisions thereof by giving 15 days notice to the Client. In the event where the client has not objected to revised terms and conditions within 15 days of receiving the notification, the same shall be binding on the client.

I/We hereby confirm to have read and understood the terms and conditions as mentioned above and agree to abide by the same.

For, Beeline Commodities Pvt. Ltd.

Authorised Signatory : _____

Client Signature 

RUNNING ACCOUNT AUTHORISATION (VOLUNTARY)

To,

BEELINE Commodities Pvt. Ltd.C.G. Road, Ahmedabad - 380009
Tel. 079 66637588 Website : www.beelinebroking.com**Ref. : Authorization to maintain running accounts for transactions and retention of funds / collateral for my / our client code _____**

Dear Sir,

I/We hereby authorize you to maintain running account(s) for my / our transactions executed through Beeline on any of the commodity exchanges / segment for both collateral and funds with you. I/we also request you to consider the balances in my / our running account with you for the purpose of margins / any other obligations due to you. I/We further authorised you to retain securities and funds as may be permitted by Exchanges / FMC from time to time while settling account. The funds and collateral due to me / us should be released on our specific request.

I/We understand and agree that no interest will be payable to me/us on the amount of collateral so retained with you.

The authorization may be revoked by me/us at any time, subject to me/us giving Beeline prior written intimation of my / our intention to revoke the same.

Yours faithfully,

Client Code

Client Signature

 **CLIENT DECLARATION - OPEN INTEREST POSITION**

To,

BEELINE Commodities Pvt. Ltd.C.G. Road, Ahmedabad - 380009
Tel. 079 66637588 Website : www.beelinebroking.com**Sub. : My / our request for trading in commodity forward Contract / commodity derivatives on Commodity Exchanges as your clients.**

Dear Sir,

I/We, the undersigned, have taken cognizance of circulars issued by FMC / Commodity Exchanges from time to time on the guidelines for calculation of net open position permitted in any commodity and I/we hereby undertake to comply with the same.

I/We hereby declare and undertake that I / We will not exceed the position limits prescribed from time to time by Commodity Exchanges or Forward Markets Commission and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time.

I / We undertake to inform you and keep you informed if any of our partners / directors / karta / trustee or any of the partnership firms / companies / HUFs / Trusts in which I or any of above such person is a partner / director / karta / trustee, takes or holds any position in any commodity forward contract / commodity derivative on Exchanges through or through any other member(s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time.

I/We confirm that you have agreed to enter order in commodity forward contracts / commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurance and undertaking.

I/We further undertake to bear any liable / penalty / charges levied by Commodity Exchanges / FMC.

Client Code

Client Signature

MOBILE DECLARATION

I/We _____ hereby declare that my mobile number is _____. My PANo is _____.

I/we am/are using

Post Paid connection (Please submit latest bill)

Prepaid Connection

I/we undertake that if there is any change in my mobile number, I will update it immediately to Beeline Commodities Pvt. Ltd. Beeline Commodities Pvt. Ltd. will not be held responsible for any delay in updating contact details due to delay on my/our part. Any loss or damage incurred due to this will be my/our sole responsibility.

I/we declare that I/we don't have mobile number. Mobile number update in my KYC form is of _____ having relationship of _____ with me.

I/we hereby authorise to send system generated password by SMS.

Yes No

Client Signature

**BEELINE LOYALTY PROGRAMME**

Beeline Loyalty Program is a reward point scheme which rewards you with points, based on the amount of brokerage/reference generated by you with Beeline Commodities Pvt. Ltd.

Programme Terms & Conditions

1. Generation of Reward Points

Reward Points will be generated as follows;

- i. For every brokerage of ₹ 100, there will be 2 Reward Points.
- ii. For Each Client Reference, there will be 200 Reward Points.
- iii. For Each Sub Broker/Remiser Reference, there will be 800 Reward Points.

2. Each Reward Point will have a monetary value of ₹ 1

3. Reward Point cannot be converted into Cash

4. Reward Point will be available to you on monthly basis

I/We agree to participate in the programme as per the terms and conditions mentioned above.

For detailed scheme related information please refer our website www.beelinebroking.com

Client Name:

Client Signature



CLIENT REFERRAL PROGRAM

To,

BEELINE Commodities Pvt. Ltd.

C.G. Road, Ahmedabad - 380009

Tel. 079 66637588 Website : www.beelinebroking.com

Sub : Request for appointment as a Client Referrer

Dear Sir / Madam,

I wish to register with you as a client referrer using my registered client code. I intend to introduce other people to you as a client so they can avail your services.

In this regards, I declare as under:

1. I am not a Broker on any Stock Exchange or Member of any Commodity Exchange.
2. I am not a Sub Broker, Remiser and/or Authorised Person of any Stock Broker or Member.
3. There has not been any action initiated or taken against me by FMC/SEBI or any regulatory authority.
4. I will not refer any immediate family members such as parents, siblings, spouse or children.
5. I undertake to inform you immediately in case there is any incident that results in a change in my declaration. If I violate any of the above specified terms, I understand that referral amount shall be discontinued with immediate effect.

I understand that after referring any individual to Beeline Commodities Pvt. Ltd., I will email Beeline at refer@beelinebroking.com with my name, my client code and the Individual's Name and Date of Birth from my registered email address with Beeline Commodities Pvt. Ltd.

I will also fill out the Introducer Details section of the Trading Application Form OR I will also fill up the client referral scheme form with my details to confirm that I am the referrer for the new client.

In light of any change to regulatory, exchange, or broker policy, I understand that the referral arrangement is subject to modification at the discretion of the management of the company.

Yours faithfully,

CLIENT'S NAME :

Client Signature


Date

CLIENT ID (LEAVE BLANK IF NEW CUSTOMER)

If you have any friends who are interested in beeline, please leave their details below and we will reach out to them. They will be credited to you as the introducing referrer.

Details of referrals:

Name	Contact No.	E-mail Id

Our Value Added Services



SME Management :

- Merchant Banking Alliance
- Due Diligence – Financial and/or Legal
- IPO process & Listing
- Market Making
- Business plan & Advisory services
- Company Restructuring
- Corporate Advisory Services

EZEE WILL:

- A Precious gift to your family
- Setting up a smooth inheritance
- Helps your family members to make their financial plans in a disciplined manner



INSURANCE DEMATERIALISATION:

- Enhance Protection
- Easy purchase & premium reminders
- No fear of loss of policy

SEP (Systematic Equity Plan):

- Systematic Equity Plan works on the same underlying concept of SIP
- Directly create a SEP plan in your account for any stock
- You can either buy stocks under fixed amount or can buy a fixed quantity every month



BEELINE
Commodities Pvt. Ltd.

B-307, Ganesh Plaza, Nr. Navrangpura Post Office,
C.G. Road, Ahmedabad - 380009
Tel. 079 66637588 Website : www.beelinebroking.com